

**STATEMENT UNDER 37 CFR 3.73(b)**

Kim A. Beazley,

Applicant/Patent Owner: Tim R. Coombe, Mark E. Groth, Terri B. Hinchey, Jay C. Pershing, Ty T. Vaughn & Bei ZhangApplication No./Patent No.: 10/582,007Filed/Issue Date: June 2, 2006

Titled:

CORN PLANT MON88017 AND COMPOSITIONS AND METHODS FOR DETECTION THEREOF

Monsanto Technology LLC

, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

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3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

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☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Robert E. Hanson, Esq.

Printed or Typed Name

June 1, 2011

Date

Attorney for Applicants

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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\*700064647A\*

FEBRUARY 09, 2004

PTAS

MONSANTO COMPANY  
GAIL WUELLNER  
800 NORTH LINDBERGH  
ST. LOUIS, MO 63167

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/06/2004

REEL/FRAME: 014315/0222

NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BEAZLEY, KIM A.

DOC DATE: 12/12/2003

ASSIGNOR:

COOMBE, TIM R.

DOC DATE: 12/15/2003

ASSIGNOR:

GROTH, MARK E.

DOC DATE: 12/15/2003

ASSIGNOR:

HINCHEY, TERRI B.

DOC DATE: 12/15/2003

ASSIGNOR:

PERSHING, JAY C.

DOC DATE: 12/12/2003

ASSIGNOR:

VAUGHN, TY T.

DOC DATE: 12/15/2003

✓  
ML

014315/0222 PAGE 2

## ASSIGNOR:

ZHANG, BEI

DOC DATE: 01/05/2004

## ASSIGNEE:

MONSANTO TECHNOLOGY LLC  
800 NORTH LINDBERGH  
ST. LOUIS, MISSOURI 63167

SERIAL NUMBER: 60529477  
PATENT NUMBER:

FILING DATE: 12/15/2003  
ISSUE DATE:

VIOLET MCCOY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Feb-06-2004 11:20

From-MONSANTO

02/06/2004 +

T-254 P.002

F-934

(Rev. 8-83)

OMB No. 0651-0011 (exp. 4/94)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

See attached

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: See Attached

## 2. Name and address of receiving party(ies):

Name: Monsanto Technology LLCInternal Address: 800 North LindberghSt. Louis, Missouri 63167Street Address: 800 North LindberghCity: St. Louis State: MO ZIP: 63167

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

60/529,477

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gail Wuellner, Thomas P. McBrideInternal Address: Monsanto Company800 North Lindbergh, Mail Zone E2NASt. Louis, Missouri 63167Street Address: 800 North LindberghMail Zone E2NACity: St. Louis State: MO ZIP: 631676. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) ..... \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

13-4125

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Thomas P. McBride

Name of Person Signing

[Signature]

Signature

6 Feb 04

Date

Total number of pages including cover sheet, attachments, and document: 10Mail documents to be recorded with required cover sheet information to:  
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T-254 P.003/011 F-934

**Name of Conveying Parties****Execution Date**

Kim A. Beazley

December 12, 2003

Tim R. Coombe

December 15, 2003

Mark E. Groth

December 15, 2003

Terri B. Hinchey

December 15, 2003

Jay C. Pershing

December 12, 2003

Ty T. Vaughn

December 15, 2003

Bei Zhang

January 5, 2004

PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

See attached

2. Name and address of receiving party(ies):

Name: Monsanto Technology LLC

Internal Address: 800 North Lindbergh

St. Louis, Missouri 63167

Street Address: 800 North Lindbergh

City: St. Louis State: MO ZIP: 63167

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

Additional name(s) & address(es) attached?

☐ Yes ☒ No

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B. Patent No.(s)

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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gail Wuellner, Thomas P. McBride

Internal Address: Monsanto Company

800 North Lindbergh, Mail Zone E2NA

St. Louis, Missouri 63167

Street Address: 800 North Lindbergh

Mail Zone E2NA

City: St. Louis State: MO ZIP: 63167

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

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Thomas P. McBride

Name of Person Signing

  
Signature

6 Feb 07  
Date

Total number of pages including cover sheet, attachments, and document:

10

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
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December 15, 2003

Jay C. Pershing

December 12, 2003

Ty T. Vaughn

December 15, 2003

Bei Zhang

January 5, 2004

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GW



**ASSIGNMENT**

WHEREAS, WE, the undersigned, have made, and have an obligation to assign to Monsanto Technology LLC, certain invention or inventions which are disclosed in patent application(s) and/or provisional patent application(s) entitled:

Corn Plant MON88017 and Compositions and Methods for Detection Thereof

---

identified as Monsanto Docket No. 38-15(53143) ; and

WHEREAS, Monsanto Technology LLC, of St. Louis, Missouri, a Corporation of the State of Delaware, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, FOR GOOD AND VALUABLE CONSIDERATION, the receipt of which is hereby acknowledged, WE do hereby sell, assign and transfer to said Monsanto Technology LLC, its successors and assigns, the entire right, title and interest in and to said invention or inventions, in any form or embodiment thereof, and in and to said application(s); and in and to any and all applications filed in any country based thereon, including the right to file applications in countries other than the country of priority filing under the provisions of any international convention; also in and to any and all improvements on said invention or inventions now or hereafter made by us as employee(s), agent(s) or contractor(s) of said Monsanto Technology LLC; also the entire right, title and interest in and to any and all patents, including reissues and extensions thereof, to be obtained in any country upon said invention, inventions or improvements, and any and all continuing applications, including divisional, continuation and continuation-in-part applications, substitute applications, and applications claiming benefit of an earlier filed provisional application, which may be filed upon said invention, inventions or improvements in any country; and

WE hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said Monsanto Technology LLC, as assignee of the entire interest.

WE further agree, without any payment by Monsanto Technology LLC other than in reimbursement of reasonable expenses we may incur, to communicate to said Monsanto Technology LLC, its representatives, agents or assignees of the entire right, title and interest hereto, any facts relating to said invention, inventions or improvements, including evidence for purposes of interference, opposition or other legal proceedings, whenever requested; testify in any interference, opposition or other legal proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective.

IN TESTIMONY WHEREOF, WE have hereto set our hands on the dates set after our signatures.

Signature: Kim Beazley Date: 12-12-03

Name: Kim A. Beazley

City and state or country of residence: Kirkwood, Missouri

State of Missouri }  
County of St. Louis } ss.

On this 12<sup>th</sup> day of December, 2003, before me personally appeared Kim A. Beazley, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)



Darla Gault Gallagher  
Notary Public or Consular Officer

My Commission expires \_\_\_\_\_

Signature: Tim R. Coombe

Date: 12-15-03

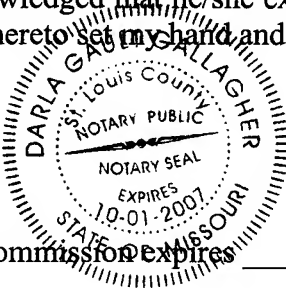
Name: Tim R. Coombe

City and state or country of residence: Ellisville, Missouri

State of Missouri }  
County of St. Louis } ss.

On this 15<sup>th</sup> day of December, 2003, before me personally appeared Tim R. Coombe, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)



Darla Gaunt Gallagher  
Notary Public or Consular Officer

My Commission expires \_\_\_\_\_

Signature: Mark E. Groth

Date: 12-15-03

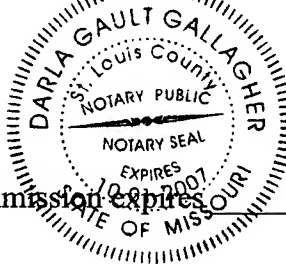
Name: Mark E. Groth

City and state or country of residence: St. Louis, Missouri

State of Missouri }  
County of St. Louis } ss.

On this 15<sup>th</sup> day of December, 2003, before me personally appeared Mark E. Groth, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)



Darla Gault Gallagher  
Notary Public or Consular Officer

My Commission Expires \_\_\_\_\_

Signature: Terri B. Hinchey

Date: 12-15-03

Name: Terri B. Hinchey

City and state or country of residence: Mystic, Connecticut

State of Connecticut }  
County of New London } ss.

On this 15 day of December, 2003, before me personally appeared Terri B. Hinchey, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

[Signature]  
Notary Public or Consular Officer

My Commission expires Sept. 30 2005

Signature: Jay C Pershing  
Name: Jay C. Pershing

Date: 12/12/03

City and state or country of residence: Webster Groves, Missouri

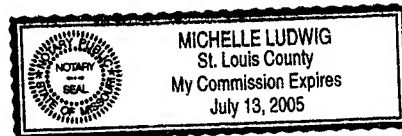
State of Missouri }  
County of St. Louis } ss.

On this 12<sup>th</sup> day of December, 2003, before me personally appeared Jay C. Pershing, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

Michelle Ludwig  
Notary Public or ~~Consular Officer~~

My Commission expires 7/13/2005



Signature: Ty T. Vaughn

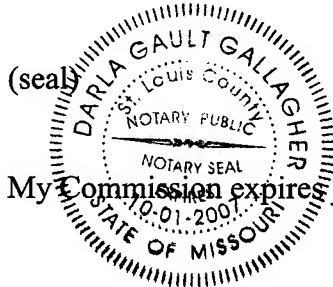
Date: 12/15/03

Name: Ty T. Vaughn

City and state or country of residence: Imperial, Missouri

State of Missouri }  
County of St. Louis } ss.

On this 15<sup>th</sup> day of December, 2003, before me personally appeared Ty T. Vaughn, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.



Darla Gault Gallagher  
Notary Public or Consular Officer

My Commission expires \_\_\_\_\_

Signature: \_\_\_\_\_

Date: 1/05/04

Name: Bei Zhang

City and state or country of residence: Ballwin, Missouri

State of Missouri

} ss.

County of St. Louis

On this 5<sup>th</sup> day of January, <sup>2004</sup>~~2003~~, before me personally appeared Bei Zhang, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

Michelle Ludwig  
Notary Public or ~~Consular Officer~~

My Commission expires 7/13/2005

